



3738

Request For d Examination (RCE) Transmittal	<i>Application Number</i>	09/606,742
	<i>Filing Date</i>	June 29, 2000
	<i>First Named Inventor</i>	COX et al.
	<i>Group Art Unit</i>	3738
	<i>Examiner Name</i>	David J. Isabella
	<i>Attorney Docket Number</i>	003-002-C1 (086-C1)

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- 1.** **Submission required under 37 C.F.R. §1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s)

a. Previously submitted. If a final Office action is outstanding, any amendment filed after the final Office action may be considered as a submission even if this box is not checked.

i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
ii. Other _____

b. Enclosed
i. Amendment/Reply
ii. Affidavit(s)/Declaration(s) iii. Information Disclosure Statement (IDS)
iv. Other _____

2. Miscellaneous

a. Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required)
b. Other _____

3. Fee The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

a. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1247

i. RCE fee required under 37 CFR 1.17(e)
ii. Extension of time fee (37 CFR 1.136 and 1.17)
iii. Other Any deficiencies

b. Check in the amount of \$_____ enclosed
c. Payment by credit card (Form PTO-2038 enclosed)

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Name (Print/Type)	Jens E. Hoekendijk	Registration No. (Attorney/Agent)	37,149
Signature		Date	September 2, 2003

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Name (Print/Type)	Erica L. Canonizado		
Signature		Date	September 2, 2003



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PTO/SB/21 (6-98)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in this Submission

Application Number	09/606,742
Filing Date	June 29, 2000
First Named Inventor	COX et al.
Group Art Unit	3738
Examiner Name	David J. Isabella

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawings | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
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| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s)
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| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <div style="border: 1px solid black; padding: 5px;">RCE transmittal
PTO-1449 (4 pages)
COPIES of all cited references
Confirmation postcard</div> |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | |
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Attorney Docket No.: 003-002-C1 (086-C1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : COX et al. Art Unit : 3738
Serial No. : 09/606,742 Examiner : David J. Isabella
Filed : June 29, 2000
Title : SURGICAL SYSTEM AND PROCEDURE FOR TREATMENT OF MEDICALLY REFRACTORY ATRIAL FIBRILLATION

Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached PTO-1449 forms are enclosed.

This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Please apply any appropriate charges or credits to Deposit Account No. 50-1247.

Respectfully submitted,

Date: September 2, 2003

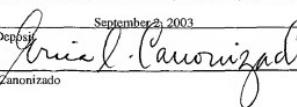

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Sheet 1 of 7

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-002 C1 (086-C1)	Application No. 09/606,742
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Dr. James L. Cox et al.	
		Filing Date 06/29/2000	Group Art Unit 3738

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
		3,862,627	01/1975	Hans, Sr.		
		4,736,749	04/1988	Lundback		
		4,815,470	03/1989	Curtis et al.		
		4,872,346	10/1989	Kelly-Fry et al.		
		4,917,095	04/1990	Fry et al.		
		4,936,281	06/1990	Stasz, Peter		
		5,080,102	01/1992	Dory		
		5,222,501	06/1993	Ideker et al.		
		5,277,201	01/1994	Stern		
		5,231,995	08/1993	Desai		
		5,263,493	11/1993	Avitall		
		5,269,291	12/1993	Carter, Robert E.		
		5,323,781	06/1994	Ideker et al.		
		5,324,284	06/1994	Imran		
		5,324,255	06/1994	Passafaro et al.		
		5,348,554	09/1994	Imran et al.		
		5,354,258	10/1994	Dory		
		5,385,148	01/1995	Lesh et al.		
		5,396,887	03/1995	Imran		
		5,400,783	03/1995	Pomeranz et al.		
		5,423,811	06/1995	Imran et al.		
		5,427,119	06/1995	Swartz et al.		
		5,431,649	07/1995	Muller et al.		
		5,435,308	07/1995	Gallup et al.		
		5,437,651	08/1995	Todd et al.		
		5,443,463	08/1995	Stern et al.		
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		5,452,733	09/1995	Sterman et al.		
		5,462,545	10/1995	Wang et al.		
		5,465,717	11/1995	Imran et al.		
		5,469,853	11/1995	Law et al.		
		5,478,330	12/1995	Imran et al.		
		5,496,312	03/1996	Klicek		
		5,497,774	03/1996	Swartz et al.		
		5,500,012	03/1996	Brucker et al.		
		5,505,730	04/1996	Edwards		

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Sheet 2 of 7Substitute Form PTO-1449
(TRADEMARKED)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
003-002 C1 (086-C1)Application No.
09/606,742**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

EXAMINER INITIAL	* DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
	5,536,267	07/1996	Edwards et al.		
	5,545,195	08/1996	Lennox et al.		
	5,549,661	08/1996	Kordis et al.		
	5,555,883	09/1996	Avitall		
	5,560,362	10/1996	Sliwa, Jr. et al.		
	5,562,720	10/1996	Stern et al.		
	5,569,241	10/1996	Edwards		
	5,571,088	11/1996	Lennox et al.		
	5,571,215	11/1996	Sterman et al.		
	5,575,788	11/1996	Baker et al.		
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	5,844,349	12/1998	Oakley et al.		
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Sheet 3 of 7

Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
003-002 C1 (086-C1)Application No.
09/606,742**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

EXAMINER INITIAL	* DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
	5,879,295	03/1999	Li et al.		
	5,893,848	04/1999	Negus et al.		
	5,904,711	05/1999	Flom et al.		
	5,906,580	05/1999	Kline-Schoder et al.		
	5,927,284	07/1999	Borst et al.		
	5,931,810	08/1999	Grabek		
	5,931,848	08/1999	Saadat		
	5,954,661	09/1999	Greenspon et al.		
	5,971,980	10/1999	Sherman		
	5,993,447	11/1999	Blewett et al.		
	6,007,499	12/1999	Martin et al.		
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	6,314,963	11/2001	Vaska et al.		
	6,325,797	12/2001	Stewart et al.		
	6,332,881	12/2001	Carmer et al.		

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U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
003-002 C1 (086-C1)Application No.
09/606,742**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR § 1.98(b))

EXAMINER INITIAL	* DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
	2002/0022839A1	02/2002	Stewart et al.		
	2002/0026183A1	02/2002	Simpson		
	6,361,531	03/2002	Hissong, James B.		
	6,364,876	04/2002	Erb et al.		
	6,368,275 B1	04/2002	Sliwa et al.		
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	6,471,698 B1	10/2002	Edwards et al.		
	6,474,340 B1	11/2002	Vaska et al.		
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	6,527,767 B1	03/2003	Wang et al.		

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Sheet 5 of 7Substitute Form PTO-1449
(37 CFR §1.98(b))U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
003-002 C1 (086-C1)Application No.
09/606,742
**Information Disclosure Statement
by Applicant**

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Applicant
Dr. James L. Cox et al.Filing Date
06/29/2000Group Art Unit
3738

(37 CFR §1.98(b))

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION?
		AU A-70522/96	11/1994	Australia			
		WO 95/10318	04/1995	PCT			
		WO 95/10319	04/1995	PCT			
		WO 95/10321	04/1995	PCT			
		WO 95/10978	04/1995	PCT			
		WO 95/17222	06/1995	PCT			
		WO 95/15115	06/1995	PCT			
		WO 96/10961	04/1996	PCT			
		WO 96/26675	09/1996	PCT			
		WO 96/39966	12/1996	PCT			
		WO 97/25918	07/1997	PCT			
		WO 97/17904	05/1997	PCT			
		WO 97/25916	07/1997	PCT			
		WO 97/25919	07/1997	PCT			
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		WO 01/05305A1	01/2001	PCT			
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		WO 01/45550A2	06/2001	PCT			
		WO 01/66189A1	09/2001	PCT			
		WO 01/70112A1	09/2001	PCT			
		WO 01/72234A1	10/2001	PCT			
		WO 01/72373A2	10/2001	PCT			
		WO 01/82778A2	11/2001	PCT			
		WO 02/05720A1	01/2002	PCT			
		WO 02/05722A1	01/2002	PCT			

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Sheet 6 of 7Substitute Form PTO-1449
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Patent and Trademark OfficeAttorney's Docket No.
003-002 C1 (086-C1)Application No.
09/606,742**Information Disclosure Statement
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(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Dr. James L. Cox et al.Filing Date
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	WO 02/05868A2	01/2002	PCT			
	WO 02/07777A2	10/2002	PCT			
	1181896A1	02/2002	EP			
	WO 02/09610A1	02/2002	PCT			
	WO 02/21995A2	03/2002	PCT			
	WO 02/24050	03/2002	PCT			
	WO 02/26142A1	04/2002	PCT			
	WO 02/30310A1	01/2002	PCT			
	WO 02/40093	05/2002	PCT			
	WO 02/45608A2	06/2002	PCT			

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Sheet 7 of 7SEARCHED
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PTO-1449
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Patent and Trademark OfficeAttorney's Docket No.
003-002 C1 (086-C1)Application No.
09/606,742**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Dr. James L. Cox et al.Filing Date
06/29/2000Group Art Unit
3738

EXAMINER INITIAL	Other Art (Including Author, Title, Date, Pages, etc.)
	He et al., "Preliminary Results Using Ultrasound Energy for Ablation of the Ventricular Myocardium in Dogs," <i>Am J Card.</i> 1994;73:1029-1031.
	Hunt, John W., "Application of Microwave, Ultrasound and Radiofrequency Heating," <i>Natl Cancer Inst Monogr.</i> (1982); 61: 447-456
	Jais et al., "Catheter Ablation for Paroxysmal Atrial Fibrillation: High Success Rates with Ablation in the Left Atrium," <i>Circulation</i> (November 1996) 94:i-675,#3946
	McRury, Ian D., Haines, David E., "Ablation for the Treatment of Arrhythmias," <i>Proceedings of the IEEE</i> , (1996); Vol 84, No 3: 404-415
	Mittleman et al., "Use of the Saline Electrode Catheter for Improved Energy Delivery and Increased Lesion Size in Radiofrequency Catheter Ablation," <i>PACE</i> , (1995); 18[Pt 1]: 1022-1027
	Sibile et al., "Characterization of Extracorporeal Ablation of Normal and Tumor-Bearing Liver Tissue by High Intensity Focused Ultrasound," <i>Ultrasound in Med. & Biol.</i> , (1993); Vol 19, No 9: 803-813
	Sie et al., "Radiofrequency Ablation of Atrial Fibrillation in Patients Undergoing Mitral Valve Surgery. First Experience," <i>Circulation</i> (November 1996) 96:450,i-675,#3946
	Weber, "Laser versus Radiofrequency Catheter Ablation of Ventricular Myocardium in Dogs: A Comparative Test," <i>Cardiology</i> , 1997; 88:346-352.
	Whayne et al., "Microwave Catheter Ablation of Myocardium In Vitro..." <i>Circulation</i> (1994); 89: 2390-2395

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